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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Ning Hu et al.                      Art Unit : Unknown  
Serial No. : To be assigned                      Examiner : Unknown  
Filed : Herewith                                  Docket No.: 01992.001US2  
Title : LIPID-BASED DISPERSIONS USEFUL FOR DRUG DELIVERY

**MAIL STOP PCT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

PRELIMINARY AMENDMENT

Prior to examination, please amend the application as indicated on the following pages.

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